

**ANNEX A**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

Name of Agency: National Electrification Administration  
 Date of Self Assessment: \_\_\_\_\_

Name of Evaluator: \_\_\_\_\_  
 Position: \_\_\_\_\_

| No.  | Assessment Conditions  | Agency Score     | APCPI Rating* | Comments/Findings to the Indicators and Subindicators | Supporting Information/Documentation (Not to be Included in the Evaluation)                              |
|--|--|------------------|---------------|---|--|
| <b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>                    |  |                  |               |   |  |
| <b>Indicator 1. Competitive Bidding as Default Method of Procurement</b> |  |                  |               |   |  |
| 1.a  | Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement   | 21.39%           | 0.00          |   | PMRs   |
| 1.b  | Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement   | 2.52%            | 0.00          |   | PMRs   |
| <b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>    |  |                  |               |   |  |
| 2.a  | Percentage of shopping contracts in terms of amount of total procurement   | 6.05%            | 1.00          |   | PMRs   |
| 2.b  | Percentage of negotiated contracts in terms of amount of total procurement   | 24.08%           | 0.00          |   | PMRs   |
| 2.c  | Percentage of direct contracting in terms of amount of total procurement   | 20.53%           | 0.00          |   | PMRs   |
| 2.d  | Percentage of repeat order contracts in terms of amount of total procurement   | 0.00%            | 3.00          |   | PMRs   |
| 2.e  | Compliance with Repeat Order procedures  | n/a              | n/a           |   | Procurement documents relative to conduct of Repeat Order  |
| 2.f  | Compliance with Limited Source Bidding procedures  | n/a              | n/a           |   | Procurement documents relative to conduct of Limited Source Bidding                                      |
| <b>Indicator 3. Competitiveness of the Bidding Process</b>               |  |                  |               |   |  |
| 3.a  | Average number of entities who acquired bidding documents  | 0.56             | 0.00          |   | Agency records and/or PhilGEPS records   |
| 3.b  | Average number of bidders who submitted bids   | 1.22             | 0.00          |   | Abstract of Bids or other agency records   |
| 3.c  | Average number of bidders who passed eligibility stage   | 1.56             | 1.00          |   | Abstract of Bids or other agency records   |
| 3.d  | Sufficiency of period to prepare bids  | Fully Compliant  | 3.00          |   | Agency records and/or PhilGEPS records   |
| 3.e  | Use of proper and effective procurement documentation and technical specifications/requirements  | Fully Compliant  | 3.00          |   | Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents                |
|  |  | <b>Average I</b> | <b>3.00</b>   |   |  |
| <b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b> |  |                  |               |   |  |
| <b>Indicator 4. Presence of Procurement Organizations</b>                |  |                  |               |   |  |
| 4.a  | Creation of Bids and Awards Committee(s)   | Fully Compliant  | 3.00          |   | Verify copy of Order creating BAC; Organizational Chart; and Certification of Training                   |
| 4.b  | Presence of a BAC Secretariat or Procurement Unit  | Fully Compliant  | 3.00          |   | Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training       |
| <b>Indicator 5. Procurement Planning and Implementation</b>              |  |                  |               |   |  |
| 5.a  | An approved APP that includes all types of procurement   | Compliant        | 3.00          |   | Copy of APP and its supplements (if any)   |
| 5.b  | Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service | Fully Compliant  | 3.00          |   | APP, APP-CSE, PMR  |
| 5.c  | Existing Green Specifications for GPPB-identified non-CSE items are adopted  | Compliant        | 3.00          |   | ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity |
| <b>Indicator 6. Use of Government Electronic Procurement System</b>      |  |                  |               |   |  |
| 6.a  | Percentage of bid opportunities posted by the PhilGEPS-registered Agency   | 0.00%            | 0.00          |   | Agency records and/or PhilGEPS records   |
| 6.b  | Percentage of contract award information posted by the PhilGEPS-registered Agency  | 100.00%          | 3.00          |   | Agency records and/or PhilGEPS records   |
| 6.c  | Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency  | 0.00%            | 0.00          |   | Agency records and/or PhilGEPS records   |

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**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

Name of Agency: National Electrification Administration  
 Date of Self Assessment: \_\_\_\_\_

Name of Evaluator: \_\_\_\_\_  
 Position: \_\_\_\_\_

| No.   | Assessment Conditions   | Agency Score      | APCPI Rating* | Comments/Findings to the Indicators and SubIndicators | Supporting Information/Documentation (Not to be Included in the Evaluation)  |
|---|---|-------------------|---------------|---|--|
| <b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>             |   |                   |               |   |  |
| 7.a   | Presence of website that provides up-to-date procurement information easily accessible at no cost   | Fully Compliant   | 3.00          |   | Identify specific procurement-related portion in the agency website and specific website links   |
| 7.b   | Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website                                   | Fully Compliant   | 3.00          |   | Copy of PMR and received copy that it was submitted to GPPB  |
|   |   | <b>Average II</b> | <b>2.40</b>   |   |  |
| <b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>                                  |   |                   |               |   |  |
| <b>Indicator 8. Efficiency of Procurement Processes</b>   |   |                   |               |   |  |
| 8.a   | Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs   | 81.01%            | 3.00          |   | APP (including Supplemental amendments, if any) and PMRs   |
| 8.b   | Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding  | 44.44%            | 0.00          |   | APP(including Supplemental amendments, if any)and PMRs   |
| 8.c   | Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe   | Fully Compliant   | 3.00          |   | Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services<br><br>Contracts with amendments and variations to order amount to 10% or less        |
|   |   |                   |               |   |  |
| <b>Indicator 9. Compliance with Procurement Timeframes</b>                                      |   |                   |               |   |  |
| 9.a   | Percentage of contracts awarded within prescribed period of action to procure goods   | 0.00%             | 0.00          |   | PMRs   |
| 9.b   | Percentage of contracts awarded within prescribed period of action to procure infrastructure projects   | n/a               | n/a           |   | PMRs   |
| 9.c   | Percentage of contracts awarded within prescribed period of action to procure consulting services   | n/a               | n/a           |   | PMRs   |
|   |   |                   |               |   |  |
| <b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b> |   |                   |               |   |  |
| 10.a  | There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis   | Fully Compliant   | 3.00          |   | Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel  |
| 10.b  | Percentage of participation of procurement staff in procurement training and/or professionalization program   | 0.00%             | 0.00          |   | Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted  |
| 10.c  | The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity                                  | Compliant         | 3.00          |   | Ask for copies of documentation of activities for bidders  |
|   |   |                   |               |   |  |
| <b>Indicator 11. Management of Procurement and Contract Management Records</b>                  |   |                   |               |   |  |
| 11.a  | The BAC Secretariat has a system for keeping and maintaining procurement records  | Fully Compliant   | 3.00          |   | Verify actual procurement records and time it took to retrieve records (should be no more than two hours)<br>Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance. |
| 11.b  | Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records                             | Fully Compliant   | 3.00          |   | Verify actual contract management records and time it took to retrieve records should be no more than two hours  |
|   |   |                   |               |   |  |
| <b>Indicator 12. Contract Management Procedures</b>   |   |                   |               |   |  |
| 12.a  | Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance | Fully Compliant   | 3.00          |   | Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz   |

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Name of Agency: National Electrification Administration  
 Date of Self Assessment: \_\_\_\_\_

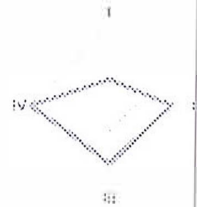
Name of Evaluator: \_\_\_\_\_  
 Position: \_\_\_\_\_

| No.  | Assessment Conditions   | Agency Score             | APCPI Rating* | Comments/Findings to the Indicators and Subindicators | Supporting Information/Documentation (Not to be Included in the Evaluation)   |
|--|---|--------------------------|---------------|---|---|
| 12.b   | Timely Payment of Procurement Contracts   | On or before 30 days     | 3.00          |   | Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts   |
|  |   | <b>Average III</b>       | <b>2.18</b>   |   |   |
| <b>PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM</b>  |   |                          |               |   |   |
| <b>Indicator 13. Observer Participation in Public Bidding</b>              |   |                          |               |   |   |
| 13.a   | Observers are invited to attend stages of procurement as prescribed in the IRR  | Fully Compliant          | 3.00          |   | Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)                 |
| <b>Indicator 14. Internal and External Audit of Procurement Activities</b> |   |                          |               |   |   |
| 14.a   | Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits                                | Fully Compliant          | 3.00          |   | Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations   |
| 14.b   | Audit Reports on procurement related transactions   | Above 90-100% compliance | 3.00          |   | Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations  |
| <b>Indicator 15. Capacity to Handle Procurement Related Complaints</b>     |   |                          |               |   |   |
| 15.a   | The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements | Fully Compliant          | 3.00          |   | Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints |
| <b>Indicator 16. Anti-Corruption Programs Related to Procurement</b>       |   |                          |               |   |   |
| 16.a   | Agency has a specific anti-corruption program/s related to procurement  | Fully Compliant          | 3.00          |   | Verify documentation of anti-corruption program   |
|  |   | <b>Average IV</b>        | <b>3.00</b>   |   |   |
| <b>GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)</b> |   |                          | <b>2.15</b>   |   |   |

**Summary of APCPI Scores by Pillar**

| APCPI Pillars   | Ideal Rating | Agency Rating |
|---|--------------|---------------|
| I Legislative and Regulatory Framework                      | 3.00         | 1.00          |
| II Agency Institutional Framework and Management Capacity   | 3.00         | 2.40          |
| III Procurement Operations and Market Practices             | 3.00         | 2.18          |
| IV Integrity and Transparency of Agency Procurement Systems | 3.00         | 3.00          |
| <b>Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4</b>    | <b>3.00</b>  | <b>2.15</b>   |

**Agency Rating**



**ANNEX B**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency: **NATIONAL ELECTRIFICATION ADMINISTRATION**

Period Covered:

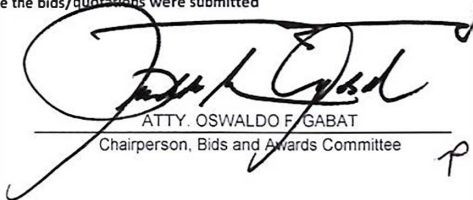
|   | Total Amount of Approved APP | Total Number of Procurement Activities | No. of Contracts Awarded | Total Amount of Contracts Awarded | No. of Failed Biddings | Total No. of Entities who Acquired Bid Docs | Total No. of Bidders who Submitted Bids | Total No. of Bidders who passed Eligibility Stage | No. of Bid Opportunities Posted at PhilGEPS | No. of Contract Award Posted at PhilGEPS | Total No. Of Contracts that incurred negative slippage | Total No. of contract with amendments to order or variation order |
|---|------------------------------|--|--------------------------|-----------------------------------|------------------------|---|---|---|---|--|--|---|
| Column 1  | Column 2                     | Column 3                               | Column 4                 | Column 5                          | Column 6               | Column 7                                    | Column 8                                | Column 9  | Column 10                                   | Column 11                                | Column 12  | Column 13   |
| <b>1. Public Bidding*</b>                             |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 1.1. Goods  | 43,551,000.00                | 9                                      | 4                        | 16,377,053.58                     | 5                      | 5   | 11                                      | 14  | 9   | 4  | 0  | 0   |
| 1.2. Works  |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 1.3. Consulting Services                              |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| Sub-Total   | 43,551,000.00                | 9                                      | 4                        | 16,377,053.58                     | 5                      | 5   | 11                                      | 14  | 9   | 4  | 0  | 0   |
| <b>2. Alternative Modes</b>                           |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.1.1 Shopping (52.1 a above 50K)                     |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.1.2 Shopping (52.1 b above 50K)                     | 3,367,671.47                 | 16                                     | 16                       | 2,483,068.00                      |                        |   |   |   | 16  | 16                                       |  |   |
| 2.1.3 Other Shopping                                  | 1,911,901.53                 | 24                                     | 24                       | 2,151,129.51                      |                        |   |   |   |   |  |  |   |
| 2.2.1 Direct Contracting (above 50K)                  | 17,195,456.41                | 11                                     | 11                       | 15,720,323.53                     |                        |   |   |   |   |  |  |   |
| 2.2.2 Direct Contracting (50K or less)                |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.3.1 Repeat Order (above 50K)                        |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.3.2 Repeat Order (50K or less)                      |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.4. Limited Source Bidding                           |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.5.1 Negotiation (Common-Use Supplies)               | 35,512,194.19                | 5                                      | 5                        | 29,592,168.29                     |                        |   |   |   |   |  |  |   |
| 2.5.2 Negotiation (Recognized Government Printers)    |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.5.3 Negotiation (TFB 53.1)                          |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.5.4 Negotiation (SVP 53.9 above 50K)                | 13,762,467.00                | 51                                     | 51                       | 11,544,323.50                     |                        |   |   |   | 51  | 51                                       |  |   |
| 2.5.5 Other Negotiated Procurement (Others above 50K) |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 2.5.6 Other Negotiated Procurement (50K or less)      | 2,809,758.00                 | 53                                     | 53                       | 6,898,050.20                      |                        |   |   |   |   |  |  |   |
| Sub-Total   | 74,559,448.60                | 160                                    | 160                      | 68,389,263.03                     |                        |   |   |   | 67  | 67                                       |  |   |
| <b>3. Foreign Funded Procurement**</b>                |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 3.1. Publicly-Bid                                     |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| 3.2. Alternative Modes                                |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |
| Sub-Total   | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   |  |  |   |
| 4. Others, specify:                                   | 12,955,200.00                | 54                                     | 54                       | 21,403,815.98                     |                        |   |   |   |   |  |  |   |
| <b>TOTAL</b>  | <b>131,065,648.60</b>        | <b>223</b>                             | <b>218</b>               | <b>106,170,132.59</b>             |                        |   |   |   |   |  |  |   |

\* Should include foreign-funded publicly-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted



ANNABELLE P. CRUZ  
 Chairperson, BAC-Secretariat



ATTY. OSWALDO F. GABAT  
 Chairperson, Bids and Awards Committee



EDGARDO R. MASONGS  
 Administrator

| No.  | Assessment Conditions  | Poor/Not Compliant (0) | Acceptable (1)        | Satisfactory (2)        | Very Satisfactory/Compliant (3) |
|--|--|------------------------|-----------------------|-------------------------|---------------------------------|
|  |  | 0                      | 1                     | 2                       | 3                               |
| <b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>                    |  |                        |                       |                         |                                 |
| <b>Indicator 1. Competitive Bidding as Default Method of Procurement</b> |  |                        |                       |                         |                                 |
| 1  | Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement   | Below 70.00%           | Between 70.00-80.99%  | Between 81.00-90.99%    | Between 91.00-100%              |
| 2  | Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement   | Below 20.00%           | Between 20.00- 39.99% | Between 40.00-50.00%    | Above 50.00%                    |
| <b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>    |  |                        |                       |                         |                                 |
| 3  | Percentage of shopping contracts in terms of amount of total procurement   | Above 7.00%            | Between 5.00-7.00 %   | Between 3.00-4.99 %     | Below 3.00%                     |
| 4  | Percentage of negotiated contracts in terms of amount of total procurement   | Above 15.00%           | Between 9.00 -15.00%  | Between 4.00-8.99%      | Below 4.00%                     |
| 5  | Percentage of direct contracting in terms of amount of total procurement   | Above 4.00%            | Between 3.00-4.00%    | Between 1.00-2.99%      | Below 1.00%                     |
| 6  | Percentage of repeat order contracts in terms of amount of total procurement   | Above 4.00%            | Between 3.00-4.00%    | Between 1.00-2.99%      | Below 1.00%                     |
| 7  | Compliance with Repeat Order procedures  | Not Compliant          |                       |                         | Compliant                       |
| 8  | Compliance with Limited Source Bidding procedures  | Not Compliant          |                       |                         | Compliant                       |
| <b>Indicator 3. Competitiveness of the Bidding Process</b>               |  |                        |                       |                         |                                 |
| 9  | Average number of entities who acquired bidding documents  | Below 3.00             | 3.00-3.99             | 4.00-5.99               | 6.00 and above                  |
| 10   | Average number of bidders who submitted bids   | Below 2.00             | 2.00-2.99             | 3.00-4.99               | 5.00 and above                  |
| 11   | Average number of bidders who passed eligibility stage   | Below 1.00             | 1.00 – 1.99           | 2.00-2.99               | 3.00 and above                  |
| 12   | Sufficiency of period to prepare bids  | Not Compliant          | Partially Compliant   | Substantially Compliant | Fully Compliant                 |
| 13   | Use of proper and effective procurement documentation and technical specifications/requirements  | Not Compliant          | Partially Compliant   | Substantially Compliant | Fully Compliant                 |
| <b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b> |  |                        |                       |                         |                                 |
| <b>Indicator 4. Presence of Procurement Organizations</b>                |  |                        |                       |                         |                                 |
| 14   | Creation of Bids and Awards Committee(s)   | Not Compliant          | Partially Compliant   | Substantially Compliant | Fully Compliant                 |
| 15   | Presence of a BAC Secretariat or Procurement Unit  | Not Compliant          | Partially Compliant   | Substantially Compliant | Fully Compliant                 |
| <b>Indicator 5. Procurement Planning and Implementation</b>              |  |                        |                       |                         |                                 |
| 16   | An approved APP that includes all types of procurement   | Not Compliant          |                       |                         | Compliant                       |
| 17   | Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service | Not Compliant          | Partially Compliant   | Substantially Compliant | Fully Compliant                 |
| 18   | Existing Green Specifications for GPPB-identified non-CSE items are adopted  | Not Compliant          |                       |                         | Compliant                       |
| <b>Indicator 6. Use of Government Electronic Procurement System</b>      |  |                        |                       |                         |                                 |
| 19   | Percentage of bid opportunities posted by the PhilGEPS-registered Agency   | Below 70.99%           | Between 71.00-80.99%  | Between 81.00-90.99%    | Above 91.00%                    |

| No.   | Assessment Conditions   | Poor/Not Compliant (0)        | Acceptable (1)               | Satisfactory (2)                | Very Satisfactory/Compliant (3) |
|---|---|-------------------------------|------------------------------|---------------------------------|---------------------------------|
|   |   | 0                             | 1                            | 2                               | 3                               |
| 20  | Percentage of contract award information posted by the PhilGEPS-registered Agency   | Below 20.00%                  | Between 20.00- 50.99%        | Between 51.00-80.00%            | Above 80.00%                    |
| 21  | Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency   | Below 20.00%                  | Between 20.00 - 50.99%       | Between 51.00-80.00%            | Above 80.00%                    |
| <b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>             |   |                               |                              |                                 |                                 |
| 22  | Presence of website that provides up-to-date procurement information easily accessible at no cost   | Not Compliant                 | Partially Compliant          | Substantially Compliant         | Fully Compliant                 |
| 23  | Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website                                   | Not Compliant                 | Partially Compliant          | Substantially Compliant         | Fully Compliant                 |
| <b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>                                  |   |                               |                              |                                 |                                 |
| <b>Indicator 8. Efficiency of Procurement Processes</b>   |   |                               |                              |                                 |                                 |
| 24  | Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs   | Below 40.00% or above 100.00% | Between 40.00- 60.99%        | Between 61.00% -80.00%          | Above 80.00%                    |
| 25  | Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding  | Below 90.00%                  | Between 90.00- 92.99%        | Between 93.00-95.00%            | Above 95.00%                    |
| 26  | Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe   | Not Compliant                 | Partially Compliant          | Substantially Compliant         | Fully Compliant                 |
| <b>Indicator 9. Compliance with Procurement Timeframes</b>                                      |   |                               |                              |                                 |                                 |
| 27  | Percentage of contracts awarded within prescribed period of action to procure goods   | Below 90.00%                  | Between 90.00 to 95.99%      | Between 96.00 to 99.99%         | 100%                            |
| 28  | Percentage of contracts awarded within prescribed period of action to procure infrastructure projects   | Below 90.00%                  | Between 90.00 to 95.99%      | Between 96.00 to 99.99%         | 100%                            |
| 29  | Percentage of contracts awarded within prescribed period of action to procure consulting services   | Below 90.00%                  | Between 90.00 to 95.99%      | Between 96.00 to 99.99%         | 100%                            |
| <b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b> |   |                               |                              |                                 |                                 |
| 30  | There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis   | Not Compliant                 | Partially Compliant          | Substantially Compliant         | Fully Compliant                 |
| 31  | Percentage of participation of procurement staff in procurement training and/or professionalization program   | Less than 60.00% Trained      | Between 60.00-75.99% Trained | Between 76-90% of staff trained | Between 91.00-100% Trained      |
| 32  | The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity                                  | Not Compliant                 |                              |                                 | Compliant                       |
| <b>Indicator 11. Management of Procurement and Contract Management Records</b>                  |   |                               |                              |                                 |                                 |
| 33  | The BAC Secretariat has a system for keeping and maintaining procurement records  | Not Compliant                 | Partially Compliant          | Substantially Compliant         | Fully Compliant                 |
| 34  | Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records                             | Not Compliant                 | Partially Compliant          | Substantially Compliant         | Fully Compliant                 |
| <b>Indicator 12. Contract Management Procedures</b>   |   |                               |                              |                                 |                                 |
| 35  | Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance | Not Compliant                 | Partially Compliant          | Substantially Compliant         | Fully Compliant                 |
| 36  | Timely Payment of Procurement Contracts   | After 45 days                 | Between 38-45 days           | Between 31-37 days              | On or before 30 days            |

Annex D

PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE

Name of Agency: National Electrification Administration

Period: CY2019

| Sub-Indicators | Key Area for Development   | Proposed Actions to Address Key Areas | Responsible Entity | Timetable | Resources Needed |
|----------------|--|---------------------------------------|--------------------|-----------|------------------|
| 1.a            | Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement |                                       |                    |           |                  |
| 1.b            | Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement |                                       |                    |           |                  |
| 2.a            | Percentage of shopping contracts in terms of amount of total procurement                                       |                                       |                    |           |                  |
| 2.b            | Percentage of negotiated contracts in terms of amount of total procurement                                     |                                       |                    |           |                  |
| 2.c            | Percentage of direct contracting in terms of amount of total procurement                                       |                                       |                    |           |                  |
| 2.d            | Percentage of repeat order contracts in terms of amount of total procurement                                   |                                       |                    |           |                  |
| 2.e            | Compliance with Repeat Order procedures  |                                       |                    |           |                  |
| 2.f            | Compliance with Limited Source Bidding procedures  |                                       |                    |           |                  |
| 3.a            | Average number of entities who acquired bidding documents  |                                       |                    |           |                  |
| 3.b            | Average number of bidders who submitted bids   |                                       |                    |           |                  |
| 3.c            | Average number of bidders who passed eligibility stage   |                                       |                    |           |                  |
| 3.d            | Sufficiency of period to prepare bids  |                                       |                    |           |                  |
| 3.e            | Use of proper and effective procurement documentation and technical specifications/requirements                |                                       |                    |           |                  |
| 4.a            | Creation of Bids and Awards Committee(s)   |                                       |                    |           |                  |
| 4.b            | Presence of a BAC Secretariat or Procurement Unit  |                                       |                    |           |                  |